## Photons and sensors

### (with an interlude on the history of color photography)

### CS 178, Spring 2009

Begun on Thursday, 4/16/09, finished on Tuesday, 4/21. Slide added (#24) on 4/21 to further explain 20 convolution.



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## Camera pixel pipeline

analog to digital conversion (ADC) processing: demosaicing, tone mapping & white balancing, denoising & sharpening, compression

→

every camera uses different algorithms

the processing order may vary

most of it is proprietary

storage

sensor →

## Example pipeline



processing: demosaicing, tone mapping & white balancing, denoising & sharpening, compression



Canon 21 Mpix CMOS sensor

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Canon DIGIC 4 processor



storage

Compact Flash card © 2009 Marc Levoy



## Outline

- converting photons to charge
- getting the charge off the sensor
  - CCD versus CMOS
  - analog to digital conversion (ADC)
- supporting technology
  - microlenses
  - antialiasing filters
  - shutters
- sensing color

## The photoelectric effect





Albert Einstein

 when a photon strikes a material, an electron may be emitted

• depends on wavelength, not intensity

$$E_{photon} = \frac{h \times c}{\lambda}$$

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## Quantum efficiency



Hubble Space Telescope Camera 2

- not all photons will produce an electron
  - depends on quantum efficiency of the device

$$QE = \frac{\# \, electrons}{\# \, photons}$$

- human vision: ~15%
- typical digital camera: < 50%
- best back-thinned CCD: > 90%



(hamamatsu.com)

Sensor pixel



- - so integrate over space and time (pixel area × exposure time)
  - larger pixel × longer exposure means more accurate measure
- typical pixel sizes
  - casio EX-F1:  $2.5\mu \times 2.5\mu = 6\mu^2$
  - Canon 5D II:  $6.4\mu \times 6.4\mu = 41\mu^2$

## Full well capacity



(clarkvision.com)

+ too many photons causes *saturation* 

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- larger capacity leads to higher *dynamic range*
- but the noise floor is also a factor, as we'll see

## Blooming



(ccd-sensor.de)

- charge spilling over to nearby pixels
  - can happen on CCD and CMOS sensors
  - don't confuse with glare or other image artifacts

### Image artifacts can be hard to diagnose



(http://farm3.static.flickr.com/2102/2248725961\_540be5f9af.jpg?v=0)

### Q. Is this blooming?

## Explanation of preceding image

- there may be blooming in the sky, but the shrinkage of the horse's leg can be explained purely as a byproduct of misfocus
  - in the accompanying plan view diagram, the horse's leg is shown at top (in cross section)
  - the red bundle of rays, corresponding to one sensor pixel, crossed before the leg (was misfocused), then spread out again, but saw only more leg, so its color would be dark
  - the green bundle of rays, corresponding to a nearby pixel, crossed at the same depth but to the side of the red bundle, then spread out again, seeing partly leg and partly sky; its color would be lighter than the leg
  - this lightening would look like the sky was "blooming" across the leg, but it's just a natural effect produced by misfocus

## CCD versus CMOS sensors





- CCD = charge-coupled device
  - charge shifted along columns to an output amplifier
  - oldest solid-state image sensor technology
  - highest quality, but not as flexible or cheap as CMOS
- CMOS = complementary metal-oxide semiconductor
  an amplifier per pixel converts charge to voltage
  low power, but noisier (but getting better)

















CCD

CMOS

- side effect of bucket-brigade readout on CCD sensors
  - only happens if pixels saturate
  - doesn't happen on CMOS sensors

## Analog to digital conversion (ADC)



flash ADC

- voltage divider  $\rightarrow$  comparators  $\rightarrow$  decoder
- for n bits requires 2<sup>n</sup> comparators
- pipelined ADC

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- 3-bit ADC  $\rightarrow$  3-bit DAC  $\rightarrow$  compute residual  $\rightarrow$  4×  $\rightarrow$  repeat
- longer latency, but high throughput
- some new sensors use an ADC per column

![](_page_20_Figure_9.jpeg)

(maxim-ic.com)

## Fill factor

![](_page_21_Figure_1.jpeg)

on a CCD sensor

![](_page_21_Figure_3.jpeg)

on a CMOS sensor

fraction of sensor surface available to collect photons

• can be improved using per-pixel microlenses

Q. An image sensor performs 2D sampling. What is the prefilter, with and without microlenses?

## What per-pixel microlenses do

- integrating light over a pixel serves <u>two</u> functions: capturing more photons, and convolving the focused image with a 2D Rect prefilter, to avoid aliasing
  - if only a portion of each pixel site is photo-sensitive, this Rect doesn't span the spacing between pixels, so the prefilter is poor, and aliasing can result (like the short exposures in old movie cameras)
- microlenses both gather more light and improve the prefilter
  - with microlenses, prefilter width roughly equals pixel spacing

![](_page_22_Figure_5.jpeg)

In lecture I described the signal f(x) and filters g(x) and r(x) as being onedimensional, and I defined convolution is a single integral over the single variable "tau". I should have made clear that the theory extends naturally to two dimensions. In particular, the focused image arriving on a sensor can be treated as a 2D function f(x,y). Prefiltering consists of convolving this with a 2D filter function g(x,y). This 2D convolution is the double integral of f(x-tau, y-phi) x g(tau,phi) dtau dphi. For the special case of a square pixel that is equally sensitive at all points on its surface, g(x,y) is a 20 Rect function, i.e. a box from -1/2 to 1/2 in x and y and of unit height. See next slide, added 4/21/09. If you want to include exposure time, then the arriving time-varying image becomes the 3D function f(x,y,t), the prefilter g(x,y,t) is a pixel area considered over time, and convolution becomes a triple integral. Assuming the shutter opens and closes instantly, g(x,y,t) is a 3D Rect, i.e. a rectangular parallelepiped, still of unit volume. The same extensions apply to postfiltering, i.e. to reconstruction; it consists of convolving the discretely sampled function fs(x,y), i.e. the array of pixels, with a 2D filter function r(x,y). For images displayed on a laptop, r(x,y) is another 2D Rect function, equal is extent to a pixel on the laptop's screen. This convolution is done for you naturally when you display the image.

## Spatial convolution in 2D

re-iterating the points made in the yellow comment
 post-it on the previous slide

![](_page_23_Figure_2.jpeg)

## Antialiasing filters

![](_page_24_Picture_1.jpeg)

antialiasing filter

![](_page_24_Picture_3.jpeg)

birefringence in a calcite crystal

© 2009 Marc Levoy

- improves on non-ideal prefilter, even with microlenses
- typically two layers of birefringent material
  - splits 1 ray into 4 rays

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• operates like a 4-tap discrete convolution filter kernel!

## Removing the antialiasing filter

## "hot rodding" your digital camera \$450 + shipping

![](_page_25_Picture_2.jpeg)

(maxmax.com)

![](_page_25_Picture_4.jpeg)

anti-aliasing filter removed

normal

## Removing the antialiasing filter

# "hot rodding" your digital camera \$450 + shipping

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![](_page_26_Picture_2.jpeg)

(maxmax.com)

![](_page_26_Picture_4.jpeg)

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![](_page_27_Picture_0.jpeg)

![](_page_28_Picture_0.jpeg)

### Jacques-Henri Lartigue, Grand Prix (1912)

## Color sensing technologies

- field-sequential
- ✤ 3-sensor
- spatial mosaic
- vertically stacked

## Historical interlude

### Q. Who made the first color photograph?

![](_page_30_Picture_2.jpeg)

![](_page_30_Picture_3.jpeg)

(wikipedia)

### + James Clerk Maxwell, 1861

• of Maxwell's equations

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• 3 images, shot through filters, then simultaneously projected

## Historical interlude

### Q. Who made the first color print?

![](_page_31_Picture_2.jpeg)

![](_page_31_Picture_3.jpeg)

#### + Louis Arthur Ducos du Hauron, 1877

- 3 images, shot through filters, printed with color inks
- he experimented with RGB and CMY

![](_page_32_Picture_0.jpeg)

- simultaneous projection provided good saturation, but available printing technology did not
- digital restoration lets us see them in full glory...

![](_page_33_Picture_0.jpeg)

### Sergey Prokudin-Gorsky, Alim Khan, emir of Bukhara (1911)

![](_page_34_Picture_0.jpeg)

Sergey Prokudin-Gorsky, Pinkhus Karlinskii, Supervisor of the Chernigov Floodgate (1919)

## First color movie technology?

![](_page_35_Picture_1.jpeg)

A Visit to the Seaside (1908)

- George Albert Smith's Kinemacolor, 1906
  - alternating red and green filters, total of 32 fps
  - projected through alternating red and green filters

## Technicolor

![](_page_36_Picture_1.jpeg)

Toll of the Sea (1922)

![](_page_36_Picture_3.jpeg)

Phantom of the Opera (1925)

beam splitter leading through 2 filters to two cameras
2 strips of film, cemented together for projection

## Technicolor

![](_page_37_Picture_1.jpeg)

Disney's Flowers and Trees (1932)

![](_page_37_Picture_3.jpeg)

Wizard of Oz (1939)

✤ 3 filters, 3 cameras, 3 strips of film

♦ better preserved than single-strip color movies of 1960s!

## First consumer color film?

#### (wikipedia)

![](_page_38_Picture_2.jpeg)

Picadilly Circus, 1949

Kodachrome, 1935
no longer available

![](_page_38_Picture_5.jpeg)

## First color television broadcast?

![](_page_39_Picture_1.jpeg)

1951

- competing standards
  - U.S. NTSC
  - Europe PAL
  - France SECAM

525-line, 30fps, interlaced 625-line, 50fps, interlaced 625-line, 50fps, interlaced

## First color television broadcast?

![](_page_40_Picture_1.jpeg)

1951

- competing standards
  - U.S. NTSC
  - Europe PAL

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• France SECAM

Never Twice the Same Color

Pale and Lurid

Système Electronique Contre les Americains

## Color sensing technologies

- field-sequential just covered
- ✤ 3-sensor
- spatial mosaic
- vertically stacked

## **3-CCD** cameras

![](_page_42_Figure_1.jpeg)

high-quality video cameras

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- prism & dichroic mirrors split the image into 3 colors, each routed to a separate CCD sensor
- no light loss, as compared to filters
- expensive, and complicates lens design

## Color mosaic

![](_page_43_Figure_1.jpeg)

Bayer pattern

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![](_page_43_Figure_3.jpeg)

Sony RGB+E better color

![](_page_43_Figure_5.jpeg)

less noise

- uses absorption filters, so light is lost
- interpolate color information to get RGB per pixel
  - called *demosaicing*
  - hard problem, many artifacts, active research area
  - we'll return to this...

![](_page_44_Figure_0.jpeg)

## Foveon stacked sensor

![](_page_45_Figure_1.jpeg)

![](_page_45_Picture_2.jpeg)

- longer wavelengths penetrate deeper into silicon, so arrange a set of vertically stacked detectors
  - top gets mostly blue, middle gets green, bottom gets red
  - no control over spectral responses, so requires processing
- fewer color artifacts than color mosaics
  - but possibly worse noise performance

### Not yet covered

### dynamic range

 $DR = \frac{\text{max output swing}}{\text{noise in the dark}} = \frac{\text{saturation level - dark current}}{\text{dark shot noise + readout noise}}$ 

- noise and ISO
- spectral characteristics of color filters
- demosaicing

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## Slide credits

- Brian Curless
- ✦ Eddy Talvala
- ✤ Abbas El Gamal

Theuwissen A., Solid-State Imaging with Charge-Coupled Devices, Kluwer Academic Publishers, 1995.